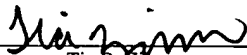


PATENT  
Docket No. 299002052010  
Client's Reference: F5-0436062/00R00651-1/US

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express mail, Airbill No. EV 336624522 US in an envelope addressed to: MS Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on February 23, 2004.

  
Tia B. Zimmerman

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Masaya ISHIDA

Serial No.: Not Yet Assigned

Filing Date: Concurrently Herewith

For: SEMICONDUCTOR LIGHT EMITTING  
DEVICE AND METHOD FOR  
PRODUCING THE SAME

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and Office Action, directed to the related application Serial Number 09/780,295, filed on February 9, 2001, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. § 1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

- ☒ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \_\_\_ is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of \_\_\_ is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. §1.17(p)) is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 299002052010. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: February 23, 2004

Respectfully submitted,

By: 

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<b>Form PTO-1449</b> <b>INFORMATION DISCLOSURE CITATION</b> <b>IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>	Docket Number 299002052010	Application Number Not Yet Assigned
	Inventor Masaya ISHIDA	
	Filing Date Concurrently Herewith	Group Art Unit Not Yet Assigned
	Mailing Date February 23, 2004	

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Document Date (MM/DD/YYYY)	Document No.	Name	Filing Date If Appropriate
	1.	08/03/1999	5,932,896	Sugiura et al.	
	2.	09/12/2000	6,117,700	Orita et al.	
	3.	04/02/2002	6,365,923	Kamei	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Publication Date (MM/DD/YYYY)	Document No.	Country	Translation YES NO
	4.	07/23/1993	JP 05-183189	Japan	Abstract
	5.	06/07/1996	JP 08-148718	Japan	Abstract
	6.	06/02/1999	JP 11-150296	Japan	Abstract
	7.	01/28/2000	JP 2000-031600	Japan	Abstract
	8.	02/25/2000	JP 2000-058919	Japan	Abstract

## OTHER DOCUMENTS

*(including Author, Title, Date, Pertinent Pages, Etc.)*

Examiner Initials	Ref. No.	Title
	9.	Copy of Office Action issued on February 5, 2004, in the Japanese counterpart patent application no. 2001-028914, 3 pages.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

pa- 861612

PTO/SB/ 08 (04-03)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE